

**AGENDA ITEM:** CLSA Statewide Data Base

**ISSUES TO COME BEFORE THE BOARD AT THIS MEETING:**

1. Consideration of Statewide Data Base funds for payment of annual subsidies.
2. Consideration of Statewide Data Base funds for targeted one-time grants.

**RECOMMENDED MOTION FOR CONSIDERATION BY THE BOARD:** I

move that the Library of California Board approve the allocation of 2000/01 funds for payment of CLSA Statewide Data Base annual subsidies in the amounts of \$69, \$550, and \$5,500, and instruct its Chief Executive Officer to invite claims for such payments.

**RECOMMENDED MOTION FOR CONSIDERATION BY THE BOARD:** I

move that the Library of California Board rescind its policy to transition Z39.50 server software grants to public libraries from CLSA to Library of California funds for the 2001/02 fiscal year and approve the allocation of any 2001/02 CLSA Statewide Data Base funds not required for payment of annual subsidies for

- competitive grants up to \$10,000 each to individual public libraries for retrospective conversion; and
- competitive matching grants up to \$10,000 each, with a required 100% local match, to individual public libraries for catalog enhancement or enrichment; and
- competitive grants up to \$7,500 each to individual public libraries for Z39.50 server software acquisition and installation;

and that the Board instruct its Chief Executive Officer to invite applications for such grants.

**ISSUES 1 & 2:** Consideration of Statewide Data Base funds for payment of annual subsidies and targeted one-time grants.

### **Annual Subsidies**

In the report, *A Study of Linked Systems with Recommendations for the Future of the CLSA Statewide Data Base* by Joan Frye Williams (May, 1998), recommendations were made for funding efforts in support of the CLSA Statewide Data Base. At the May 1998 CLSB meeting, the Board accepted these recommendations and instructed the staff to prepare an implementation plan for the August meeting. The plan was prepared and used for the distribution of CLSA local assistance funding in the past three fiscal years (1998/99 through 2000/01).

As recommended in the plan, three levels of subsidies – two for public libraries and one for CLSA cooperative systems – have been offered during this time, paid out of CLSA Stateside Data Base funds:

For public libraries:

- A subsidy of \$65 in recognition of libraries' use of OCLC as a valuable tool for locating materials owned by California libraries whose collections are stored on OCLC but are not accessible via Z39.50 search; or
- A subsidy of \$500 to libraries that have made their catalog database directly accessible via Z39.50 searching.

For CLSA cooperative systems:

- A \$5000 subsidy for implementing regional resource sharing servers to link Z39.50-enabled local systems.

### **Recommendation:**

Staff recommends that the above subsidies be continued in FY 2001/02, but in the amounts of \$69, \$550, and \$5500. The OCLC annual charge has increased to \$69 from \$65, and the 10% increase in the Z39.50 subsidies reflects cost increases due to inflation as well as other factors, including but not limited to conforming to the Z39.50 "Bath" profile, installing vendor upgrades, and training new staff.

### **Targeted One-Time Grants:**

During the past three years, the Board has used the remaining Statewide Data Base funds not required for annual subsidy reimbursement for several types of targeted one-time grants to public libraries:

- \$10,000 grants for retrospective conversion of catalog records to machine readable form, so that those records can be made accessible for resource sharing (1998/99-2000/01);
- \$7500 grants for acquiring, installing, and implementing Z39.50 server software (1998/99-1999/00); and
- Grants of up to \$10,000, with a 100% required local match, for libraries to enhance or enrich their catalog records by adding additional information to the record that will increase access to and add information about the material represented by the catalog record, such as tables of contents, book summaries, author notes, book cover images, book reviews, reauthorization of the catalog database (main entries, subject headings), etc.

### **Recommendation:**

Staff recommends that the three types of grants listed above be offered to public libraries from remaining Statewide Data Base funds, with the following conditions:

- The grants will be awarded on a competitive basis, based on the guidelines included in the grant announcements; and
- Applicants must show the actual cost of the activities associated with each type of grant and use that cost as the basis for the amount of funding requested; and
- The announced dollar amount for each type of grant (\$10,000 for retrospective conversion; \$7500 for Z39.50 server software; \$10,000 with 100% match for catalog record enhancement/enrichment) will be the maximum amount allowed for the grant.

Staff recommends that the Board rescind its policy of transitioning funding for Z39.50 server software from CLSA to Library of California funds for the 2001/02 fiscal year. Staff had fully expected to be able to redirect part of the \$3,200,000 loan compensation augmentation in the January 2001 Governor's Budget to the regional networks to support the telecommunication elements of interlibrary loan and direct loan transactions. With the elimination of that augmentation in the May Revision of the budget, networks will have minimal funding available to support their member libraries' acquisition and installation of Z39.50 server software. Since CLSA Statewide Data Base funds are still intact and have been used in the past for Z39.50

servers software grants to public libraries, and given the current state budget situation, staff recommends that the Z39.50 grants be reinstated during 2001/02.

### **GENERAL OVERALL PROGRAM UPDATE:**

**CURRENT STATUS:** The CLSA Statewide Data Base Program continues to support resource sharing throughout the State.

#### **Contributions to OCLC**

Following early data collection efforts which led to the production of a microfiche catalog, the California Library Services Board selected OCLC in 1984 as a service utility to provide the CLSA Data Base online. Contributions of records and holdings are made to OCLC under a variety of contractual agreements with California public libraries. The number of holdings records at the end of each of the five previous quarters were:

March 2000	18,455,749
June 2000	18,655,155
September 2000	18,795,529
December 2000	19,090,463
March 2001	19,285,942

During the twelve months prior to the middle of March 2001, 830,194 holdings records were added to OCLC at an average of over 69,183 records per month. The latest holdings report from OCLC is attached as Exhibit A to this agenda item.

### **RELATED ISSUES TO COME BEFORE THE BOARD IN THE FUTURE:**

1. Update on 2001/02 subsidy claims if the above motion is adopted.
2. Update on targeted one-time grants if the above motion is adopted.
3. Update on OCLC California public library holdings.

Relevant Committee: Support Services  
Staff Liaison: Tom Andersen